

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

here application of

Docket No: Q88482

Kunichika KUBOTA, et al.

Appln. No.: 10/538,367

Group Art Unit: NOT YET ASSIGNED

Confirmation No.: 6022

Examiner: NOT YET ASSIGNED

Filed: June 13, 2005

For:

COLD DIE STEEL EXCELLENT IN CHARACTERISTIC OF SUPPRESSING

DIMENSIONAL CHANGE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/538,367

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 24,513

Peter D. Olexv

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 24, 2006

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# STATEMENT UNDER 37 CFR § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1440 A & D/DTO	Comp	lete if Known	
Substitute for Form 1449 A & B/PTO	Application Number	10/538,367	
INFORMATION DISCLOSURE	Confirmation Number	6022	
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PE STATEMENT BY APPLICANT	First Named Inventor	Kunichika KUBOTA	
(use as many sheets as necessary)	Art Unit	NOT YET ASSIGNED	
(use as many sheets as necessary)	Examiner Name	NOT YET ASSIGNED	
Sheet 5 1 of 1	Attorney Docket Number	Q88482	
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TENT 8 TO	ADES		U.S. PATENT DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Documen	t Number	Publication Date MM-DD-YYYY		
		Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	
		US				
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FOREIGN PATENT DOCUMENTS							
	Cite	Fo	Foreign Patent Document		Publication Date	Name of Patentee or	
	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		WO	02/083966	A1	10-24-2002	Usinor	Abstract
		JP	200-192195	A	07-11-2000	Daido Steel Co., Ltd.	Abstract
		JP	01-11945	A	01-17-1989	Diado Steel Co., Ltd.	No
		EP	1 072 691	A	01-31-2001	Hitachi Metals, Ltd.	English
		WO	99/50469	A	10-07-1999	Uddeholm Tooling	English
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NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bot initials* No. 1 journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country wh		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.